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UNITED STATES PATENT AND TRADEMARK OFFICE

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 United States Patent and Trademark Office  
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 Alexandria, Virginia 22313-1450  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/799,335	03/12/2004		ASMEX.447A

CONFIRMATION NO. 6213

20995  
 KNOBBE MARTENS OLSON & BEAR LLP  
 2040 MAIN STREET  
 FOURTEENTH FLOOR  
 IRVINE, CA 92614

## FORMALITIES LETTER



\*OC000000012803832\*

Date Mailed: 05/27/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$414 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1314 for a Large Entity

08/25/2004 YPOLITE1 00000074 10799335

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

01 FC:1001  
 02 FC:1051  
 03 FC:1202

770.00 OP  
 130.00 OP  
 414.00 OP

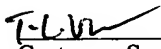
• Total additional claim fee(s) for this application is \$414

■ \$414 for 23 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**PATENT**

Case Docket No. ASMEEX.447A

Date: August 20, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Tomasini, et al.  
App. No. : 10/799,335  
Filed : March 12, 2004  
For : METHOD TO PLANARIZE  
AND REDUCE DEFECT  
DENSITY OF SILICON  
GERMANIUM

Group Art Unit : 2812

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

20 aug 04

(Date)

Kyle F. Schluter  
Kyle F. Schluter, Reg. No. 54,912

**TRANSMITTAL LETTER**

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**ATTENTION: MAIL STOP MISSING PARTS**

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on May 27, 2004, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) An extension of time to respond for one (1) month(s) is hereby requested.

Time Extension Fee:

(X)	one month	(\$110 large entity)
()	two months	(\$420 large entity)
()	three months	(\$950 large entity)

(X) A Notice to File Missing Parts.

(X) Return prepaid postcard.

08/25/2004 YPOLITE1 00000074 10799335

04 FC:1251

110.00 0P

**PATENT**

Case Docket No. ASMEX.447A

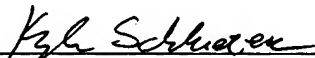
Date: August 20, 2004

(X) Fees as calculated below:

FILING FEE		\$ 770
FEE FOR EXTENSION OF TIME (LARGE ENTITY)	1 months	\$ 110
SURCHARGE 37 CFR 1.16(e)		\$ + 130
TOTAL ADDITIONAL CLAIM FEE(S) FOR THIS APPLICATION		\$ 414
\$414 FOR 23 TOTAL CLAIMS OVER 20		
TOTAL OF ABOVE CALCULATIONS		\$ 1424
REDUCTION BY 2 FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - 0		
TOTAL FEES SUBMITTED HERewith		\$ 1424

(X) A check in the amount of \$1424 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
\_\_\_\_\_  
Kyle F. Schlueter  
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Customer No. 20,995  
(949) 760-0404